Thank you for allowing me to create your personal portfolio allocation for you. In order for me to assist you in the process please do the following:

1) Complete the accompanying form

2) Attach your most recent statements

3) Return to our office

Fax toll free:
1-877-454-5591 or

Mail to:
Compak Asset Management
1801 Dove Street
Newport Beach, CA 92660

Once I receive your information and generate your optimum allocation my office will make an appointment to review it with you. If you have any questions please call 1-800-388-9700 and thank you once again for your interest.

Moe Ansari
President

Compak Asset Management is a registered investment advisor.
Customer funds are custodianed at Fidelity, Member NASD/SIPC.

COMPAK ASSET MANAGEMENT
Registered Investment Advisor

www.compak.com

© 2004 Compak Asset Management. Printed in U.S.A.

Investor Profile

Name ____

Birthdate ____

Email Address ____

Phone Number ____

Current Income ____

Years to Retirement ____

Dollar Value of Portfolio ____

Please answer the following questions on a scale of 1 to 5 (1 = Strongly Disagree, 5 = Strongly Agree).

1.) I am willing to bear an above level of investment risk. I can accept occasional years with negative investment returns.

1 ☐ *2* ☐ *3* ☐ *4* ☐ *5* ☐

2.) I am willing to maintain investment positions over a reasonably long period of time (generally 10 years or more).

1 ☐ *2* ☐ *3* ☐ *4* ☐ *5* ☐

3.) I do not need to be able to readily convert my investments into cash. Aside from my portfolio, I have adequate liquid net worth to meet major near term expenses.

1 ☐ *2* ☐ *3* ☐ *4* ☐ *5* ☐

4.) My major source of income is adequate, predictable and strongly growing.

1 ☐ *2* ☐ *3* ☐ *4* ☐ *5* ☐

5.) I have prior investment experience with stocks, bonds, and international investments. I understand the concept of investment risk.

1 ☐ *2* ☐ *3* ☐ *4* ☐ *5* ☐

Fax toll free to (877) 454-5591
Email information to investments@compak.com

COMPAK ASSET MANAGEMENT
Registered Investment Advisor

www.compak.com

© 2004 Compak Asset Management. Printed in U.S.A.